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Docket Number (Optional)  
ASPL-007

## PATENT APPLICATION TRANSMITTAL LETTER

To the Commissioner of Patents and Trademarks:

Transmitted herewith for filing under 35 U.S.C. 111 and 37 CFR 1.53 is the patent application for **SLAB LEVELING SYSTEM AND METHOD** by Charles Lee Asplin.

Enclosed are:

- 19 pages of written description, claims and abstract.
- 4 sheets of drawings.
- an assignment of the invention to \_\_\_\_\_, a North Dakota corporation, with cover sheet.
- executed declaration of the inventor.
- a certified copy of a \_\_\_\_\_ application.
- power of attorney.
- a verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
- information disclosure statement. (14 documents)
- preliminary amendment.
- Express Mail Certificate No: EK947412074US

## CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Fee
<b>BASIC FEE</b>			\$ 710	\$ 710
<b>TOTAL CLAIMS</b>	16 - 20 =	0	X \$ 18	\$ 0
<b>INDEPENDENT CLAIMS</b>	3 - 3 =	0	X \$ 80	0
<b>MULTIPLE DEPENDENT CLAIM PRESENT</b>			\$ 270	
* Number Extra must be Zero or Larger			<b>TOTAL</b>	<b>\$ 710</b>
	If applicant has small entity status under 37 CFR 1.9 and 1.27, then divide total fee by 2, and enter amount here			SMALL ENTITY TOTAL \$ 355

- Assignment recordation fee 37 CFR 1.21(h) \$ 40.00.
- Check No. 7001 in the amount of \$355.00 to cover the filing fee and assignment recordation is enclosed.
- The Commissioner is hereby authorized to charge and credit Deposit Account

No. \_\_\_\_\_ as described below. I have enclosed a duplicate copy of this sheet.

- Charge the amount of \$ \_\_\_\_\_ as filing fee.
- Credit any overpayment.
- Charge any additional filing fees required under 37 CFR 1.16 and 1.17.
- Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

10-13-00

Date



Curtis V. Harr, Attorney for the Applicant  
 P. O. Box 2842  
 Fargo, ND 58108-2842  
 Telephone No. (701) 298-3001  
 Fax No. (701) 298-3002  
 Reg. No. 37,844

10/13/00  
 JC921 U.S. PTO  
 09/687445

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b))—INDEPENDENT INVENTOR**

Docket Number (Optional)  
**ASPL-007**

Applicant or Patentee: **Charles Lee Asplin**

Serial or Patent No.:

Filed or Issued: **HEREWITH**

Title: **Slab Leveling System and Method**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- the specification filed herewith with title as listed above.
- the application identified above.
- the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

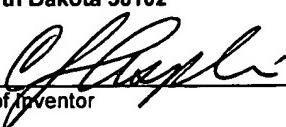
- No such person, concern, or organization exists.
- Each such person, concern or organization is listed below.

SCANNED, # 6  
Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

**Charles Lee Asplin, INVENTOR**  
909 25<sup>th</sup> Avenue North  
Fargo, North Dakota 58102

  
Signature of Inventor

10-12-00  
Date